



396.42855X00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: K. KATO, et al.  
Application No.: 10/615,297  
Filed: July 9, 2003  
For: PROCESS FOR PRODUCTION OF POLYALKYL-SUBSTITUTED  
AROMATIC ALDEHYDE  
Group: TBD  
Examiner: TBD

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97 AND § 1.98**

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

December 31, 2003

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find an European Search Report, and copies of documents cited therein, in connection with an European Patent Application corresponding to the above-identified application. Also enclosed is a Form, substantially equivalent to Form PTO-1449, listing the enclosed documents.

This Information Disclosure Statement is being submitted prior to issuance of a first Office Action on the merits in the above-identified application. Accordingly, requirements of 37 CFR § 1.97(b) are satisfied.

In any event, the following Statement is made under 37 CFR § 1.97(e)(1):

Each item of information contained in this Information

Disclosure Statement was first cited in any communication from a

foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR § 1.97 and § 1.98 have been satisfied, in connection with present filing of the enclosed documents. Accordingly, consideration of the enclosed documents, upon examination of the above-identified application, is respectfully requested.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (referencing docket no. 396.42855X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

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Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DKT. NO. 396.42855X00	APPLICATION NO. 10/615,297
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)		<b>APPLICANT</b> K. Kato, et al.	
		<b>FILING DATE</b> 7/9/2003	<b>GROUP</b> TBD

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
AA	3,948,998	4/6/1976	Fujiyama, et al.			
AB	2,485,237	10/18/1949	Gresham, et al.			
AC						
AD						

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation/Abstracts	
						Yes	No
AE	1 422 308	1/28/1976	GB				
AF	0 083 224	7/06/1983	EP				
AG							
AH							
AI							
AJ							
AK							
AL							

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AM	European Search Report mailed October 2, 2003, for EP 03 01 4141	
	AN		
	AO		
	AP		
	AQ		
	AR		
Examiner		Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC